



STEFAN J. KLAUBER\*  
DAVID A. JACKSON  
ALLAN H. FRIED\*  
MICHAEL D. DAVIS\*  
LAWRENCE D. MANDEL\*  
ROGER M. RATHBUN\*

\*BAR OTHER THAN N.J.  
\*COUNSEL

PATENT AGENTS

CHRISTINE E. DIETZEL, PH.D.  
MICHAEL A. YAMIN, PH.D.



## KLAUBER & JACKSON

ATTORNEYS AT LAW  
CONTINENTAL PLAZA

411 HACKENSACK AVENUE  
HACKENSACK, NEW JERSEY 07601

Sector 61  
PATENT, TRADEMARK AND  
COPYRIGHT CAUSES

(201) 487-5800

FACSIMILE: (201) 343-1684

WWW.KJILAW.COM

email: info@kjiplaw.com

May 8, 2000

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Re: U.S. Patent Application

Applicant(s) : Siegfried Hekimi *et al*  
Title : C. ELEGANS GRO-1 GENE  
Serial No. : 09/513,151  
Filed : February 25, 2000  
Our File : 979-1-017

### Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to ASSISTANT COMMISSIONER FOR PATENTS TRADEMARKS, WASHINGTON, DC, 20231 on May 8, 2000.

David A. Jackson, Reg. No. 26,742  
(Name of Registered Representative)

Leis A. Soure 5/8/00  
(Signature and Date)

### SUBMISSION OF MISSING PARTS OF APPLICATION UNDER 37 CFR 1.53(d)

Dear Sir:

Responsive to the Notice of File Missing Parts of Application under 37 CFR 1.53(d), Applicant submits herewith the following:

1. Executed Declaration and Power of Attorney making reference to the above-identified Application, and in compliance with 37 CFR 1.63.
2. Verified Statement Claiming Small Entity Status;

Assistant Commissioner for Patents  
May 8, 2000  
Page 2

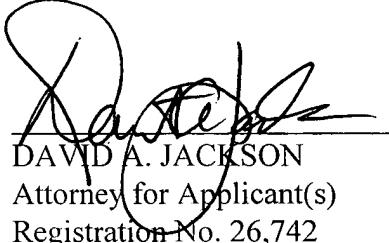
Attorney Docket: 979-1-017

3. Assignment with Recordal Cover Sheet;
4. Request for Corrected Filing Receipt;
5. A check in the amount of \$645.00, covering the following:

(a) Filing Fee	\$540.00
(b) Surcharge under 37 CFR 1.16(e)	\$ 65.00
(c) Assignment Recordal Fee	\$ 40.00

Applicant hereby authorizes that any charges in addition to the above authorized that relate to the filing and processing of the present Application in accordance with 37 CFR 1.16 and 1.17 may be charged to Deposit Account No. 11-1123. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,



DAVID A. JACKSON  
Attorney for Applicant(s)  
Registration No. 26,742

KLAUBER & JACKSON  
411 Hackensack Avenue  
Hackensack, NJ 07601  
(201) 487-5800

## FORMALITIES LETTER



\*OC00000005081538\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
--------------------	---------------------	-----------------------	------------------------

09/513,151

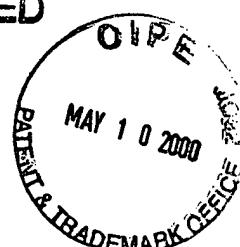
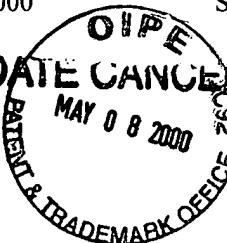
02/25/2000

Siegfried Hekimi

979-1-017

David A Jackson Esq  
Klauber & Jackson  
411 Hackensack Avenue  
Hackensack, NJ 07601

MAIL DATE CANCELLED



Date Mailed: 04/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$390.
  - \$390 for 5 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1210.**

*A copy of this notice **MUST** be returned with the reply.*

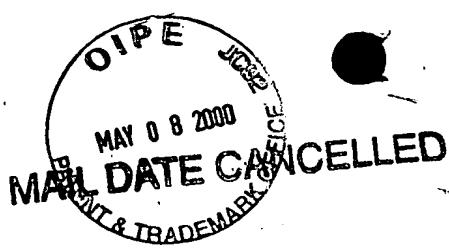
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

05/12/2000 STEFERRA 00000002 09513151

01 FC:201	345. 0P
02 FC:202	195.00 0P
03 FC:205	65.00 0P



PATENT  
979-1-017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S) : Siegfried Hekimi *et al*  
SERIAL NO. : 09/513,151  
FILED : February 25, 2000  
FOR : C.ELEGANS GRO-1 GENE

REQUEST FOR CORRECTED FILING RECEIPT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

Dear Sir:

Applicants hereby request that a corrected Formal Filing Receipt be issued to add the prior foreign application in the above-identified Application.

Please add the following data:

--Prior Foreign Application No. 2,210,251, filed in Canada on August 25, 1997--  
as it appears on the originally filed Declaration and Power of Attorney filed on February 25, 2000. A copy of said Declaration and Power of Attorney is enclosed.

Applicants also request that the City of the inventor Jason Lemieux be changed from "Toronto" to

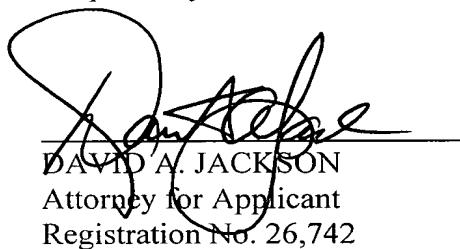
--North York--

as it appears on the executed Declaration and Power of Attorney submitted herewith in response to the Notice To File Missing Parts.

A copy of the filing receipt with the requested corrections marked in red is also enclosed.

Applicants accordingly request that the records of the U.S. Patent and Trademark Office be corrected to reflect the addition of the prior filed application and the correct city residence of Jason Lemieux.

Respectfully submitted,



DAVID A. JACKSON  
Attorney for Applicant  
Registration No. 26,742

KLAUBER & JACKSON  
411 Hackensack Avenue  
Hackensack, NJ 07601  
(201) 487-5800

## FILING RECEIPT

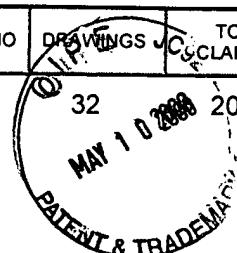


\*OC00000005081537\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS JC	TOT CLAIMS	IND CLAIMS
09/513,151	02/25/2000	1643	0	979-1-017	32	20	8

David A Jackson Esq  
Klauber & Jackson  
411 Hackensack Avenue  
Hackensack, NJ 07601



Date Mailed: 04/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Siegfried Hekimi, Montreal, CANADA;  
Bernard Lakowski, Munich, GERMANY;  
Thomas Barnes, Boston, MA;  
Jason Lemieux, Toronto, CANADA;

NORTH YORK

Continuing Data as Claimed by Applicant

PRIOR FOREIGN APPLICATION  
2,210,251, filed 08/25/1997  
in Canada

## Foreign Applications

PCT PCT/CA98/00803 08/20/1998

If Required, Foreign Filing License Granted 04/27/2000

\*\*

## Title

RECEIVED

C.elegans gro-1 gene

MAY 05 2000

## Preliminary Class

KLAUBER &amp; JACKSON

435

Data entry by : DUNCAN, KIMBERLY

Team : OIPE

Date: 04/28/2000

